

PRIVACY POLICIES AND PRACTICES FOR OUR CLIENTS

The privacy of our investors is very important to us. This Privacy Policy sets out the information practices for Sprott Inc. and its affiliates (collectively, the “Sprott Group”), including what types of personal and business information is collected, how the information is used, and with whom the information is shared. We are committed to protecting your privacy and maintaining the confidentiality of your information. The following describes how Resource Capital Investment Corporation (RCIC) handles your personal information, and what steps we take to protect your privacy. We do not disclose your personal information to any unaffiliated third party for marketing purposes. If you close your account with us or otherwise become a former customer, we will continue to treat your nonpublic, personal financial information as described in this policy.

Who we are: RCIC is an investment adviser. We offer investment advice to retail and institutional clients concerning natural resource investing.

What personal information do we collect?

The term “personal information” refers to any information about you (or other identifiable people) including information that may specifically identify you or them. We collect personal information that you provide to us (or that your financial advisor or dealer provides to us on your behalf), which may include the following:

- Your full name, address, occupation and date of birth, as required by law;
- Identification, such as a valid driver's license or passport;
- Your social or national insurance number for ID or income tax reporting purposes, as required by law;
- Your financial information including annual income, assets and liabilities, and banking information;
- Your employment history and credit history;
- Information about third parties such as your spouse if you are applying for any brokerage, financial product or other service offered by the Sprott Group (collectively, the “Services”), where this information is required by law or necessary for us to perform a contract with you.
- For legal entities such as businesses, partnerships, trusts, estates or investment clubs, we may collect the information referred to above from each authorized person, partner, trustee, executor and club member, as appropriate. Each member of the Sprott Group may be a controller of personal information that we collect and each will comply with this policy.

How do we use your information?

We collect and use your personal or business information in order to give you the best possible service and for the purposes set out in your agreement(s) with us, such as:

- To establish your identity and verify the accuracy of your information;
- To confirm your corporate status;
- For Anti-money laundering compliance needs;
- To determine the suitability of our Services for you;
- To determine your eligibility for our Services;
- To set up, administer and offer Services that meet your needs, including fulfilling any reporting or audit requirements;
- To provide you with ongoing Service, including executing your transactions;
- To provide you and your financial advisor or dealer with confirmations, tax receipts, proxy mailings, financial statements and other reports;
- To meet our legal and regulatory requirements;
- To manage and assess our risks; and
- To protect us from error and to prevent or detect fraud or criminal activity.

We collect, use and disclose your social or national insurance number, social security number or other government-issued personal or business identification number for ID verification purposes and for income tax reporting purposes, as required by law. In addition, we may ask you for your social security number to confirm your identity. This allows us to keep your personal information separate from that of other customers, particularly those with similar names, and helps maintain the integrity and accuracy of your personal information. You may refuse to consent to its use or disclosure for purposes other than as required by law.

We will store your personal information for no longer than it is needed and our retention of the information will be in accordance with our internal policies and the Data Protection Legislation.

Confidentiality and security: RCIC uses procedural, physical and electronic system safeguards to store and secure information about you in compliance with federal standards. Our systems protect your information from unauthorized access, alteration and destruction. Access is permitted only to those individuals within our organization who need the information to perform their job responsibilities.

Where we get information: The information we collect about you comes primarily from your RCIC New Account Documentation or fund subscription agreement. This includes such information as your name, address and social security number that you may have provided on these applications, agreements or other forms. In addition, we maintain records of each of your transactions and holdings at your custodian firms.

To whom we disclose the information: We provide information about current or former clients from the sources described above to parties outside RCIC only as described below. We may share your personal or business information within the Sprott Group for the purposes set out above. We do not provide directly all the services related to your relationship with us. We may use third party service providers or agents such as:

- Your financial advisor or dealer;
 - Other financial service providers such as investment dealers, custodians, banks and others used to finance or facilitate transactions or operations on your behalf;
 - Transfer agents, portfolio managers, brokerage firms and similar service providers; and
 - Other service providers such as accounting, legal or tax preparation services and credit reference or identity check providers.
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- To your custodial firm, in order to process activities for your investment account(s) with RCIC, your custodial firm handles all custody functions, processes & settles trades, transmits 1099 reporting to the IRS, sends monthly statements of your account(s), etc.
 - To other companies as necessary to process your business. For example your custodian or fund administrator transmits your account and transactional information to the company that prepares your account statements. Third parties in the category, like those in the category above, must limit their use of the information to the purpose for which it was provided.
 - Where required by law or regulation. Examples include responses to a subpoena, court order or regulatory demand.
 - As authorized by you. You may direct us, for example, to send your account statements and trade confirmations to a third party or request bank wire transmissions.
 - As otherwise authorized, permitted by law or you. For example, the law permits us to respond to requests for information about you from a consumer-reporting agency.

We may also be required by law to disclose information to government regulatory authorities. For example, we may be required to report your income to taxation authorities. We may also be required to disclose your personal and business information to self-regulatory organizations (“SROs”). SROs collect, maintain and use such information for regulatory purposes, including trading surveillance, audits, investigations, maintenance of regulatory databases and enforcement proceedings. SROs may, in turn, disclose such information when reporting to securities regulators or when sharing information with other SROs and law enforcement agencies.

Sprott Group may be involved in the sale, transfer or reorganization of some or all of its business at some time in the future. As part of that sale, transfer or reorganization, we may disclose your personal and business information to the acquiring organization.

Sprott employees use information about you to respond to your needs and to provide you with information about specific products in which you may have an interest. We instruct our employees to use strict standards of care in handling the personal and confidential information of customers. We remind them regularly of their obligations regarding the confidentiality of customer information.

How do you withdraw your consent?

Subject to legal, regulatory and contractual requirements, you may refuse to consent to our collection, use or disclosure of your personal or business information, or you may withdraw your consent to our further collection, use or disclosure of your information at any time in the future by giving us reasonable notice. Depending on the circumstances, however, withdrawal of your consent may impact on our ability to provide you or continue to provide you with some Services or information that may be of value to you. We will act on your instructions as quickly as possible but there may be certain uses of your information that we may not be able to stop immediately.

You can tell us at any time to stop using information about you to promote our Services or the products and services of third parties we select, or to stop sharing your information with other members of the Sprott Group. If you wish to withdraw consent as outlined in this Privacy Policy, you may do so at any time by contacting us by mail at Royal Bank Plaza, South Tower, 200 Bay Street, Suite 2600, Toronto, Ontario M5J 2J1 Canada Attention: Privacy Officer or by e-mail at privacy@sprott.com.

UPDATE

How do you update your information?

As we make decisions based on the information we have, we encourage you to help us keep our information accurate and complete. Contact us at any time in writing at Sprott Inc., Royal Bank Plaza, South Tower, 200 Bay Street, Suite 2600, Toronto, Ontario M5J 2J1 Canada Attention: Privacy Officer if you wish to update the information we have about you.

How can you access your information?

You may request access to the personal information we hold about you at any time to review its content and accuracy and to have it amended as appropriate. To request access to such information please contact us in writing at Sprott Inc., Royal Bank Plaza, South Tower, 200 Bay Street, Suite 2600, Toronto, Ontario M5J 2J1 Canada Attention: Privacy Officer.

We will respond to your written access request promptly. We may be unable to provide you with access to all or some of the information we hold about you (the Data Protection Legislation may in some cases require us to withhold some information, for example if it is legally privileged).

California Residents

Residents of the State of California, see our California Consumer Privacy Act Disclosures [here](#).
Last Modified and Effective Date: UPDATE, July 2023

California Privacy Notice

This notice and policy supplements information contained in privacy disclosures from Sprott Inc. and its corporate business affiliates and subsidiaries (“Sprott”) and applies solely to residents of the State of California (“consumers” or “you”). Any terms defined in the California Consumer Privacy Act of 2018, as amended from time to time (“CCPA”) have the same meaning when used in this notice and policy. This notice and policy does not reflect our collection, use, or disclosure of California residents’ personal information, or data subject rights, where an exception under the CCPA applies. You can download a pdf version of the notice and policy [here](#).

As a California resident, you have the rights listed below.

To submit a request to exercise the right to know, please submit an email request to privacy@sprott.com or call our toll-free number at 855-943-8099. To submit a request to delete personal information, please submit an email request to privacy@sprott.com or call our toll-free number at 855-943-8099.

Sprott may ask that you provide certain information to verify your identity. The information that we ask you to provide to verify your identity will depend on your prior interactions with us and the sensitivity of the personal information (PI) at issue. Sprott will respond to your request in accordance with the CCPA. If we deny your request, we will explain why.

- **RIGHT TO ACCESS** You have the right to access PI which we may collect or retain about you. If requested, we shall provide you with a copy of your PI which we collect as permitted by law. You also have the right to receive your PI in a structured and commonly used format so that it can be transferred to another entity (“data portability”).
- **RIGHT TO KNOW** You have the right to request that we disclose the following about your PI, as defined by law:
 - The specific PI we may collect;
 - The categories of PI we may collect;
 - The categories of sources from which we may collect your PI;
 - The business purpose(s) for collecting or sharing your PI;
 - The categories of PI we may disclose for business purposes; and
 - The categories of third parties to whom we may sell or share your PI.

- **RIGHT TO OPT-OUT / DO NOT SELL/DO NOT SHARE MY PERSONAL INFORMATION** or state: state we do not sell/share PI within the meaning of the CCPA/CPRA.
- **RIGHT TO REQUEST THAT WE DO NOT COLLECT, SHARE, OR DISCLOSE MY SENSITIVE PERSONAL INFORMATION** You have the right to limit how your SPI is disclosed or shared with third parties, as defined in the CCPA/CPRA.
- **RIGHT TO DELETION** In certain circumstances, you have the right to request the erasure of your PI. Upon verifying the validity of a deletion request, we will delete your PI from our records, and instruct any service providers or third parties to delete your information, when applicable.
- **RIGHT TO CORRECT/RIGHT TO RECTIFICATION** In certain circumstances, you have the right to request correction of any inaccurate PI. Upon verifying the validity of a verifiable consumer correction request, we will use commercially reasonable efforts to correct your PI as directed, taking into account the nature of the PI and the purposes of maintaining your PI.
- **ACCESS AND OPT OUT OF AUTOMATED DECISION MAKING INCLUDING PROFILING**

Please note that the above rights are not absolute, and we may be entitled to refuse requests, wholly or partly, where exceptions under applicable law apply.

EXERCISING YOUR RIGHTS If you are a California resident, you can exercise any of your rights as described in this Policy/Notice and under applicable privacy laws by using the contact information provided in this Notice. We will not discriminate against you for exercising such rights. Except as described in this Policy/Notice or provided for under applicable privacy laws, there is no charge to exercise your legal rights.

When a business sells your personal information, you have a right to opt out of such sale. Sprott does not sell, and in the preceding 12 months did not sell, California residents' personal information. Sprott does not have actual knowledge that it sells the personal information of minors under 16 years of age.

2. PERSONAL INFORMATION HANDLING PRACTICES

We have set out below categories of personal information we collect about California residents and have collected in the preceding 12 months. For each category of personal information we have collected, we have included the reference to the enumerated category or categories of personal information in the CCPA that most closely describe such personal information.

Category of Personal Information Collected	Categories of Sources from which the Information was Collected	Business or Commercial Purpose(s) for which Information is Collected	Categories of Third Parties to whom this type of Personal Information is Disclosed for a Business Purpose
Identifiers: Name, telephone number, residential address, e-mail address, IP address, driver's license number, social security number, passport number, banking information	We may collect this type of information from: Directly from you. For example, when you contact or request information from us including via the Sprott websites, when you create a Sprott account, or through meetings and telephone conversations with you Third Parties, including Service Providers. For example, service providers that Sprott uses and other third parties that Sprott chooses to collaborate or work with, or your financial advisors or dealers that provide information to us on your behalf	We may use this type of information to: Establish your identity and verify the accuracy of your information, such as to confirm your identification Provide you with our services, such as to; use the websites and execute your transactions; process, maintain and service your account(s); provide you with confirmations, tax receipts, proxy mailings, financial statements and other reports; enable service providers to perform the services offered on our websites; determine the suitability of our services for you and your eligibility for our services; market products and services that may be of interest to you Register an account, manage user relationship, and communicate with you Handle and record consumer rights requests, including opt-ins and opt-outs; Monitor, protect and improve Sprott security assets and resources, including devices, systems, customer data, infrastructure, and Sprott network Prevent or detect fraud or criminal activity	We may disclose this type of information to: Service Providers Third parties (including government agencies) as required by law or in connection with court proceedings (such as pursuant to subpoenas or court orders) Third parties who may acquire your information as a result of a merger, acquisition or otherwise ownership transition Sprott Affiliates and Subsidiaries. For example, Sprott affiliates and subsidiaries may share business processes and common data systems.

Category of Personal Information Collected	Categories of Sources from which the Information was Collected	Business or Commercial Purpose(s) for which Information is Collected	Categories of Third Parties to whom this type of Personal Information is Disclosed for a Business Purpose
		Comply with laws, regulatory requirements and to respond to lawful requests, court orders and legal processes	

Category of Personal Information Collected	Categories of Sources from which the Information was Collected	Business or Commercial Purpose(s) for which Information is Collected	Categories of Third Parties to whom this type of Personal Information is Disclosed for a Business Purpose
Any categories of Personal Information described in subdivision (e) of Section 1798.80: Name, date of birth, age, gender, telephone number, residential address, e-mail address, IP address, driver's license number, social security number, passport number, financial information, occupation, employment history, credit history, information about third parties required by law or performance of contract (e.g. information about your spouse)	We may collect this type of information from: Directly from you. For example, when you contact or request information from us including via the Sprott websites, when you create a Sprott account, or through meetings and telephone conversations with you Third Parties, including Service Providers. For example, service providers that Sprott uses and other third parties that Sprott chooses to collaborate or work with, or your financial advisors or dealers that provide information to us on your behalf	We may use this type of information to: Establish your identity and verify the accuracy of your information, such as to confirm your identification Provide you with our services, such as to; use the websites and execute your transactions; process, maintain and service your account(s); provide you with confirmations, tax receipts, proxy mailings, financial statements and other reports; enable service providers to perform the services offered on our websites; determine the suitability of our services for you and your eligibility for our services; market products and services that may be of interest to you Register an account, manage user relationship, and communicate with you Handle and record consumer rights requests, including opt-ins and opt-outs; Monitor, protect and improve Sprott security assets and resources, including devices, systems, customer data, infrastructure, and Sprott network Prevent or detect fraud or criminal activity Comply with laws, regulatory	We may disclose this type of information to: Service Providers Third parties (including government agencies) as required by law or in connection with court proceedings (such as pursuant to subpoenas or court orders) Sprott Affiliates and Subsidiaries. For example, Sprott affiliates and subsidiaries may share business processes and common data systems Third parties who may acquire your information as a result of a merger, acquisition or otherwise ownership transition

Category of Personal Information Collected	Categories of Sources from which the Information was Collected	Business or Commercial Purpose(s) for which Information is Collected	Categories of Third Parties to whom this type of Personal Information is Disclosed for a Business Purpose
		requirements and to respond to lawful requests, court orders and legal processes	

Category of Personal Information Collected	Categories of Sources from which the Information was Collected	Business or Commercial Purpose(s) for which Information is Collected	Categories of Third Parties to whom this type of Personal Information is Disclosed for a Business Purpose
Commercial information: Browsing history; investment and services history	You	Provide you with our services, such as to; use the websites and execute your transactions; process, maintain and service your account(s); provide you with confirmations, tax receipts, proxy mailings, financial statements and other reports; enable service providers to perform the services offered on our websites; determine the suitability of our services for you and your eligibility for our services; market products and services that may be of interest to you	We may disclose this type of information to: Service Providers Third parties (including government agencies) as required by law or in connection with court proceedings (such as pursuant to subpoenas or court orders) Sprott Affiliates and Subsidiaries. For example, Sprott affiliates and subsidiaries may share business processes and common data systems Third parties who may acquire your information as a result of a merger, acquisition or otherwise ownership transition
Internet or other electronic network activity information: IP address, domain name, browsing history, browser type, settings, and capabilities, device type and settings, operating system, network information, time of visit, duration of visit, date and time stamps of actions	We may collect this type of information from: Using cookies and automatic collection methods. Sprott and its service providers may collect information from the computer, tablet, phone, or other device that you install our mobile application on, that you use to access our websites, or that you use to open an email or click on an advertisement from Sprott. Sprott does not respond to “do not track signals.”	We may use this type of information to: Provide you with our services, such as to; use the websites Measure user engagement with the websites, and detect viewing history	We may disclose this type of information to: Service Providers Third parties who may acquire your information as a result of a merger, acquisition, or other ownership transition

Category of Personal Information Collected	Categories of Sources from which the Information was Collected	Business or Commercial Purpose(s) for which Information is Collected	Categories of Third Parties to whom this type of Personal Information is Disclosed for a Business Purpose
Geolocation data: IP Address	We may collect this type of information from: Using cookies and automatic collection methods. Sprott and its service providers may collect information from the computer, tablet, phone, or other device that you install our mobile application on, that you use to access our websites, or that you use to open an email or click on an advertisement from Sprott. Sprott does not respond to “do not track signals.”	We may use this type of information to: Provide you with our services, such as to; use the websites To facilitate transactions or operations on your behalf. To help with auditing interactions and transactions with you, addressing security, fixing errors, advertising or marketing, maintaining accounts and providing customer service, internal research, verifying service quality or safety, document storage and shredding, and mail distribution. To manage our organization and administration To comply with our legal obligations, including security investigations As required by law.	We may disclose this type of information to: Third parties and service providers that provide products or services to us. For example, your financial advisors or dealers, or other financial service providers Third parties (including government agencies) as required by law or in connection with court proceedings (such as pursuant to subpoenas or court orders). For example, we may be required to report your income to taxation authorities, or disclose your personal and business information to self-regulatory organizations, such as the U.S. Securities and Exchange Commission and the Financial Industry Regulatory Authority Third parties who may acquire your information as a result of a merger, acquisition, or other ownership transition Sprott Affiliates and Subsidiaries. For example, Sprott affiliates and subsidiaries may share business processes and common data systems.

Category of Personal Information Collected	Categories of Sources from which the Information was Collected	Business or Commercial Purpose(s) for which Information is Collected	Categories of Third Parties to whom this type of Personal Information is Disclosed for a Business Purpose
Audio, electronic, visual, thermal, olfactory or similar information:	We may collect this type of information from: You	We may use this type of information: We may record a call with you for quality assurance or if legally required (voice recording).	We may disclose this type of information to: Third parties and service providers that provide products or services to us. For example, your financial advisors or dealers, or other financial service providers Third parties (including government agencies) as required by law or in connection with court proceedings (such as pursuant to subpoenas or court orders). For example, we may be required to report your income to taxation authorities, or disclose your personal and business information to self-regulatory organizations, such as the U.S. Securities and Exchange Commission and the Financial Industry Regulatory Authority Third parties who may acquire your information as a result of a merger, acquisition, or other ownership transition Sprott Affiliates and Subsidiaries. For example, Sprott affiliates and subsidiaries may share business processes and common data systems

Category of Personal Information Collected	Categories of Sources from which the Information was Collected	Business or Commercial Purpose(s) for which Information is Collected	Categories of Third Parties to whom this type of Personal Information is Disclosed for a Business Purpose
Inferences drawn from any of the information identified above to create a profile about You: Predictions of behavior, interests, preferences, and needs	We may collect this type of information from: You Your device	We may use this information to: Provide you with our services Determine the suitability of our services for you and your eligibility for our services; market products and services that may be of interest to you; Measure user engagement with the websites	We may disclose this type of information to: Service Providers Third parties who may acquire your information as a result of a merger, acquisition, or other ownership transition

Sensitive Personal Information This includes: ID numbers, such as social security or passport number; account log-in or financial account information; precise geolocation (defined as accurate to within a 1850 feet radius circle); racial or ethnic origin, religious or philosophical beliefs, or union membership; contents of email or other communications; genetic data; biometric data processed to uniquely ID an individual; or PI collected and analyzed concerning a person's health, sexual orientation or sex life; the processing of any biometric data to identify a consumer, as well as personal information concerning a consumer's health or sexual orientation	We may collect this type of information from: You	We may use this type of information to: Establish your identity and verify the accuracy of your information, such as to confirm your identification Provide you with our services, such as to execute your transactions; process, maintain and service your account(s); provide you with confirmations, tax receipts, proxy mailings, financial statements and other reports; Register an account, manage user relationship, and communicate with you; Monitor, protect and improve Sprott security assets and resources Prevent or detect fraud or criminal activity Comply with laws, regulatory requirements and to respond to lawful requests, court orders and legal processes	We may disclose this type of information to: Service Providers Third parties (including government agencies) as required by law or in connection with court proceedings (such as pursuant to subpoenas or court orders) Third parties who may acquire your information as a result of a merger, acquisition, or other ownership transition.
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Characteristics of protected class information under California or Federal Law such as race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, marital status, sex, age, or sexual orientation

We will not retain or store Personal Information for longer than is reasonably necessary to fulfill the purposes for which it was collected or that you authorized after collection, or as otherwise required by law.

4. RIGHT TO NON-DISCRIMINATION FOR THE EXERCISE OF CCPA RIGHTS

You may not be discriminated against because you exercise any of your rights under the CCPA in violation of California Civil Code § 1798.125.

5. AUTHORIZED AGENT

You can designate an authorized agent to make a request under the CCPA on your behalf if:

- The authorized agent is a natural person or a business entity registered with the Secretary of State of California and the agent provides proof that you gave the agent signed permission to submit the request; and
- You directly confirm with Sprott that you provided the authorized agent with permission to submit the request.

If you use an authorized agent to submit a request to exercise your right to know or your right to request deletion, please provide any information Sprott requests to verify your identity. The information that Sprott asks you to provide to verify your identity will depend on your prior interactions with us and the sensitivity of the personal information at issue.

If you provide an authorized agent with power of attorney pursuant to Probate Code sections 4121 to 4130, it may not be necessary to perform these steps and we will respond to any request from such authorized agent in accordance with the CCPA.

Virginia Residents

We set forth above in our Privacy Policy the categories of personal data we process, the purpose for processing personal data, the categories of personal data shared, and the categories of third parties with whom personal data is shared.

If you are a Virginia Consumer and would like to exercise your rights pursuant to the Virginia Consumer Data Protection Act (VCDPA), and any implementing regulations adopted thereunder, please contact our Privacy Officer by telephone at 855.943.8099, by e-mail at privacy@sprott.com or by mail to Sprott Inc., Royal Bank Plaza, South Tower, 200 Bay Street, Suite 2600, Toronto, Ontario M5J 2J1 Canada Attention: Privacy Officer. to submit a request. The VCDPA only requires that companies provide one or more secure and reliable means for consumers to submit their requests.

Virginia Consumers have the following rights, all subject to the meanings and exceptions set forth in the VCDPA:

- To confirm whether we are processing your Personal Data and request to access such data ("Right to Access").
- That we correct inaccurate Personal Data we hold about you ("Right to Correct").
- That we delete the Personal Data provided by you or obtained about you ("Right to Delete").
- To obtain a copy of the Personal Data previously provided by you to us and, to the extent feasible, in a readily usable format to allow data portability ("Right to Obtain").
- To opt-out out of the processing of your Personal Data for the purposes of targeted advertising ("Right to Opt-Out of Targeted Advertising"). Please note that some online advertising practices (and certain analytics or similar activities) may be considered "targeted advertising" under the VCDPA. To disable sharing through cookies set by 3rd parties that may be considered targeted advertising under the VCDPA, [click here](#).
- the processing of your personal data for the purposes of a sale ("Right to Opt-Out of Sale"). Please note that Sprott does not sell Personal Data to third parties for monetary compensation.
- the processing of your personal data for the purposes of profiling ("Right to Opt-Out of Profiling").

VCDPA Appeals

Pursuant to the VCDPA, if, for any reason, you would like to appeal our decision relating to your request, you have the right to submit an appeal and can do so by calling Toll Free: 855.943.8099 or emailing: privacy@sprott.com. Please include your full name, the basis for your appeal, and any additional information to consider.

Nevada Residents

Sprott does not currently sell your covered information as those terms are defined under applicable Nevada law. You may still submit an opt-out request and we will honor that request as required by Nevada law if Sprott were to engage in such a sale in the future. If you are a Nevada resident and would like to opt-out of the sale of your covered information, please submit your request by calling Toll Free: 855.943.8099 or emailing: privacy@sprott.com. Your request must include your full name, street address, city, state, zip code, and an email address so that we can contact if needed regarding this request. If you previously provided a phone number, it will assist us in making sure we identify you as someone who wants to opt-out. You may also be required to take reasonable steps as we determine from time to time in order to verify your identity and/or the authenticity of the request. Sprott will respond to your request within sixty (60) days either confirming that your request has been processed or indicating that we need an additional thirty (30) days to complete the request

Colorado, Connecticut or Utah Residents

If you are a Colorado, Connecticut, or Utah resident, you have a right to appeal a decision not to honor your request.

Who do you contact if you have any questions or concerns?

If you have any questions about our privacy policies and practices and how they relate to you, please contact our Privacy Officer by telephone at 855.943.8099, by e-mail at privacy@sprott.com or by mail to Sprott Inc., Royal Bank Plaza, South Tower, 200 Bay Street, Suite 2600, Toronto, Ontario M5J 2J1 Canada Attention: Privacy Officer.

If you have any questions regarding this Policy, please contact us at:

Sprott Inc.

Royal Bank Plaza, South Tower
200 Bay Street, Suite 2600
Toronto, Ontario M5J 2J1
Canada
Telephone: 416.943.8099
Toll Free: 855.943.8099
Email: privacy@sprott.com

Option to opt out and change notices: If for any reason at any time in the future, we find it necessary to disclose any of your personal information in a way that is inconsistent with this policy, we will give you advance notice of the proposed change and the opportunity to opt out of such disclosure.